

## FORM A GUIDANCE NOTES

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This document provides guidance to applicant companies on the completion of Form A. Separate guidance notes are available on the completion of Form B and Form C, available under 'all appraisal documents' on the AWMSG website ([www.awmsg.org](http://www.awmsg.org)).

If you have any queries in completing Form A, please contact Ruth Lang, Head of Liaison and Administration for the AWMSG secretariat (the All Wales Therapeutics and Toxicology Centre [AWTTC]) on 029 218 26900 or email [AWTTC@wales.nhs.uk](mailto:AWTTC@wales.nhs.uk).

### The function and timing of Form A

Form A should be completed for all new licensed products, each new indication and/or formulation and will form the basis of the decision as to whether the medicine meets the criteria for appraisal by AWMSG. In general, a separate submission form for each indication is preferred, and facilitates the development of a coherent case for each indication. However, this may not be appropriate when indications are closely related, e.g. a medicine licensed for different grades of severity of the same disease.

Form A should be submitted before marketing authorisation is received, ideally within one month of receipt of positive opinion from the Committee for Medicinal Products for Human Use (CHMP). Information provided will be treated as confidential and will only be available to members of AWTTC and the AWMSG Steering Committee.

### Completing Form A

Form A should be completed in full, with justification if this is not possible. Only sections 1, 2 and 7 need be completed if the applicant company are of the view that the medicine is likely to be excluded from appraisal. Information should be included in the relevant section of the form where possible and any appendices should be clearly labelled with the corresponding question. The evidence quoted should be referenced throughout the form and a list of all references should be provided, together with electronic copies.

It is vital that any data submitted (including prevalence, incidence and cost) are Wales-specific in order for AWMSG to appropriately appraise medicines for use within NHS Wales. Data from any other UK country, or elsewhere, will not be accepted where Wales-specific data are available. It is important to clearly highlight any data/information that the company consider to be commercial/academic in confidence and, where possible, to provide a date beyond which this data/information will no longer be considered as such.

The following guidance notes are divided into seven sections and should be referred to when completing the corresponding sections of Form A.

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## 1. Product information

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### 1.1 General information

- a) The Marketing authorisation (MA) holder should be entered. Please also highlight the company name(s) to be included on all documentation relating to the appraisal and recommendation if this differs from the MA holder.
- b) The generic name should be entered under 'Approved name of medicine'.
- c) The brand or marketing name should be inserted under 'Trade name'.
- d) The formulation(s), strength(s) and route(s) of administration should be entered accordingly.
- e) The new licensed indication should be stated in full, in line with the Summary of Product Characteristics (SPC).
- f) Please state the indication covered in the submission if it differs from the full indication in Section 1.1e.
- g) If the licence has been amended, please provide details accordingly. Changes might include new indication, new target group or a change in the place of therapy.

### 1.2 Regulatory status

This part of the form should be completed as fully as possible, ensuring that the information provided is specific to the full indication under consideration (e.g. relates to the licence extension). Details will remain confidential until after licence. Launch date will only be used in order to prioritise workload by AWMSG and an estimated time period would be acceptable. Please indicate whether the medicine is likely to be included under the early access to medicines scheme (EAMS).

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## 2. Exclusion criteria

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### 2.1 Overview

Please indicate whether, in your view, one or more of the AWMSG exclusion criteria apply. The AWMSG exclusion criteria can be found under '[all appraisal documents](#)' on the AWMSG website. Only sections 1, 2 and 7 need be completed if the applicant company are of the view that the medicine is likely to be excluded from appraisal. If the applicant company believes that the product meets these criteria, it is important to clearly explain the reasoning behind this. Please move to Section 3 if, in your view, the exclusion criteria do not apply.

### **2.2 Exclusion criterion 2: The National Institute for Health and Care Excellence (NICE) intends to publish final guidance (Single Technology Appraisal [STA] / Multiple Technology Appraisal [MTA] / Highly Specialised Technology [HST]) for the same product and indication(s) within 12 months from the date of marketing authorisation**

Please complete if, in your view, exclusion criterion 2 applies. It is important to indicate whether the medicine is likely to be referred to NICE, giving as much information as possible regarding the status of any submission and the projected timelines.

### **2.3 Exclusion criterion 6: Product is a new formulation of an established medicine**

Please complete if, in your view, exclusion criterion 6 applies.

### **2.4 Exclusion criterion 7: An equivalent generic or branded generic product is available and the new product costs the same or less**

Please complete if, in your view, exclusion criterion 7 applies.

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### 3. Cost and patient eligibility

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#### 3.1 Patient Access Scheme (PAS)

NMG and AWMSG consider the basic NHS list price of medicines. Details of any proposed or negotiated discounts will not be considered and should not be submitted. Patient Access Schemes (PAS) will only be considered following approval from the Department of Health (DOH) and incorporation into a positive NICE Final Appraisal Determination (FAD), or following approval of a Wales Patient Access Scheme (WPAS) by Welsh Government. Please provide details relating to any DOH PAS or WPAS accordingly.

#### 3.2 Cost

- a) The proposed price per patient per year should be calculated based on maximum body weight/body surface area and/or dose, based on list price. This information will remain confidential until after launch.
- b) If applicable, state the proposed price based on maximum dose per patient per year/treatment course (excluding VAT), based on WPAS/DOH PAS price.
- c) Any additional costs associated with the use of this medicine should be identified and calculated on a 'per patient per year' basis.

#### 3.3 Patient eligibility

An estimate of the number of patients in Wales who would be eligible to receive this medicine (in this indication) and the source of the information should be provided.

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### 4. Limited Submission details

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#### 4.1 Overview

Please complete Sections 4.1a to 4.1c. If you have answered yes to any of these questions, then a full submission (Form B) is likely to be required. The final decision as to whether a full submission (Form B) or limited submission (Form C) is required is that of the AWMSG Steering Committee.

The company should indicate whether they consider their submission meets the criteria for a limited submission and, if so, on what grounds.

A limited submission (Form C) may be deemed appropriate by the AWMSG Steering Committee in any of the following circumstances (where a product is not a new chemical entity or for a new licensed therapeutic indication [New Target Disease]):

- **A new formulation which has a pro-rata or lower cost per treatment**  
e.g. slow release, new chemical salt of established medicine
- **A licence extension which is deemed minor by the AWMSG Steering Committee**  
e.g. use in paediatrics
- **If the anticipated usage in NHS Wales is considered by the AWMSG Steering Committee to be of minimal budgetary impact**
- **If the estimated difference in cost compared with the appropriate comparator(s) is deemed by the AWMSG Steering Committee to be small**

AWMSG reserves the right to request a full submission in relation to any medicine at any time during the process. The decision of the AWMSG Steering Committee in this respect is final and binding.

#### **4.2 Limited submission criterion 1: Significant new formulation**

Please complete if, in your view, limited submission criterion 1 applies.

#### **4.3 Limited submission criterion 3: Anticipated minimal budgetary impact in NHS Wales**

Please complete if, in your view, limited submission criterion 3 applies.

#### **4.4 Limited submission criterion 4: Estimated small difference in cost compared to comparator(s)**

Please complete if, in your view, limited submission criterion 4 applies.

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### **5. Comparator place in therapy**

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- a) The applicant company should suggest comparator treatment(s) based on current standard care in NHS Wales, i.e. what is considered to be “routine practice” and may potentially be displaced. Comparators licensed for the indication under consideration should usually be included; however AWMSG will also consider unlicensed comparators where it is deemed appropriate to do so. For some medicines, it may be appropriate to consider more than one comparator (e.g. if practice is varied or if current therapy is unlicensed).
- b) The anticipated place this medicine will have in therapy should be outlined accordingly.

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### **6. End-of-life medicines and medicines developed to treat rare diseases**

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- a) The applicant company should indicate in their submission if they consider the submission is for a medicine for a rare disease. Applicant companies should refer to the AWMSG appraisal process for a medicine for a rare disease, available under ‘all appraisal documents’ on the AWMSG website.
- b) The applicant company should indicate whether they believe that the medicine meets the AWMSG policy on appraising life-extending, end-of-life medicines. Please refer to the policy, available under ‘all appraisal documents’ on the AWMSG website.

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### **7. Contact details**

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The name and position of the person responsible for compiling Form A should be entered. The main point of contact should be identified. This need not be the person making the submission. The purpose is to identify a single contact point for enquiries about the submission. It need not be someone who can directly answer enquiries, but the contact person should have sufficient knowledge to be able to relay enquiries to the appropriate person within the company. An additional contact, such as the Medical Director, should also be identified.

**PLEASE ENSURE THAT THE SPC (OR DRAFT SPC) IS INCLUDED WITH FORM A WHERE POSSIBLE.  
FORM A MUST BE SUBMITTED ELECTRONICALLY TO AWTTTC.**

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